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(54) Title: SURFACE TREATMENT OF AN ORGANIC POLYMERIC MATERIAL

(57) Abstract: A method of forming a coating on a substrate surface which coating comprises SiO_x groups by plasma treatment of the surface, wherein the substrate comprises a blend of an organic polymeric material and an organosilicon-containing additive which is substantially non-miscible with the organic polymeric material. The organic polymeric material preferably comprises a polyolefin, and the organosilicon-containing additive preferably comprises polydimethylsiloxane, α,ω -dihydroxypolydimethylsiloxane, α,ω -divinylpolydimethylsiloxane, or a copolymer of polydimethylsiloxane and polyethylene. The plasma treatment may comprise oxygen-containing gas plasma treatment, and the substrate is preferably heated and the plasma pulsed during plasma treatment. The method can enhance the surface barrier, antioxidant and/or the adhesive properties of the substrate.

SURFACE TREATMENT OF AN ORGANIC POLYMERIC MATERIAL

[0001] The present invention relates to a method of forming a coating comprising silicon oxides on a substrate surface by incorporating organosilicon-containing additives
5 in the substrate and plasma treating the substrate surface.

[0002] The use of plasma treatment techniques to modify substrate surfaces is well known; in general, a substrate is treated by placing it within a reactor vessel and subjecting
10 it to a plasma discharge. The effect on the surface depends largely upon the gaseous material present within the reactor during the plasma discharge. For example, plasma treatment may activate species on the substrate surface which augment adhesion of the substrate with other materials, or
15 deposition of materials onto the substrate surface. Plasma treatment of siloxane substrates results in formation of silicon oxides, hereinafter defined as " SiO_x ", on the surface of the siloxane. SiO_x coated substrates are desirable because the SiO_x may, for example, provide a
20 barrier to permeation of gases, vapours, odours, etc, into the substrate, and may augment adhesion of the substrate with or to other materials.

[0003] When two materials are blended together they will segregate if their respective surface energies are
25 sufficiently different and according to their miscibility. The material having the lower surface energy will migrate to the surface of the material having the higher surface energy, and non-miscibility of the materials will enhance segregation. Two classes of materials which have
30 sufficiently different surface energies to result in segregation when blended together are organic polymers and siloxanes. Siloxanes have a surface energy sufficiently lower than most organic polymers to result in migration of the siloxane to the surface of the organic polymer with

which it is blended. Thus, a method of forming an SiO_x layer on an organic polymeric substrate is to blend the substrate with a siloxane, allow the siloxane to migrate to the substrate surface, and then plasma treat the substrate surface. The incorporation of polysiloxanes into organic polymers is described in, for example, GB patent number 1257304.

[0004] In Polym. Mater. Sci. Eng. 1988, 59 (934-940), Arnold et al disclose polyimide-polydimethylsiloxane copolymers suitable for use in space flight applications. Where the copolymers are exposed to aggressive oxygen environments, such as low earth orbit atmospheres, a ceramic-like silicate protective layer is formed on the surface of the copolymer. US Patent Number 5,693,928 discloses a method of forming a diffusion barrier on an article made from a polymer blend of a high surface energy polymer and an excess of a low surface energy material, for example a silane or siloxane, characterised by the high level of miscibility of the constituents. The article is treated with reactive oxygen (ozone) and UV radiation to form the diffusion barrier.

[0005] We have found an improved method of plasma treatment of a substrate surface wherein the substrate contains organosilicon-containing additives to form a coating on the surface which comprises SiO_x groups. Such SiO_x containing coatings can provide enhanced barrier, antioxidant and adhesive properties to the substrate surface.

[0006] According to the present invention there is provided a method of forming a coating on a substrate surface which coating comprises SiO_x groups by plasma treatment of the surface, wherein the substrate comprises a blend of an organic polymeric material and a organosilicon-

containing additive which is substantially non-miscible with the organic polymeric material.

[0007] Herein "substantially non-miscible" means that the organosilicon-containing additive and the organic material have sufficiently different interaction parameters so as to be non-miscible in equilibrium conditions. This will typically, but not exclusively, be the case when the Solubility Parameters of the organosilicon-containing additive and the organic material differ by more than 0.5 MPa^{1/2}. For example, in the case of a homopolymer organosilicon-containing additive in an organic polymeric material this can result in micro phase separation (1-20µm), and in the case of a copolymer organosilicon-containing additive in an organic polymeric material this can result in nano phase separation (10-50nm).

[0008] The substrates used in the method of the present invention comprise a blend of an organic polymeric material and an organosilicon-containing additive which is substantially non-miscible with the organic polymeric material. Suitable organic materials include polycarbonates, polyurethanes, polyolefins (for example polypropylenes and polyethylenes), polyvinylchloride, polyesters (for example polyalkylene terephthalates), polymethacrylates (for example polymethylmethacrylate and polymers of hydroxyethylmethacrylate), polyepoxides, polysulphones, polyphenylenes, polyetherketones, polyimides, polyamides, polystyrenes, phenolic, epoxy and melamine-formaldehyde resins, and blends and copolymers thereof. Preferred organic polymeric materials are polyolefins, in particular polyethylene and polypropylene.

[0009] The organosilicon-containing additives for use in the present invention may be, for example, fluids, gums or resins, and include those additives which comprise units of

the formulae $(\text{SiO}_{4/2})$, $(\text{RSiO}_{3/2})$, $(\text{R}_2\text{SiO}_{2/2})$ and/or $(\text{R}_3\text{SiO}_{1/2})$ wherein each group R is independently selected from hydrogen atoms, hydroxyl groups, and substituted and unsubstituted hydrocarbon groups. Preferred hydrocarbon groups are those
5 having from one to eight carbon atoms and include alkyl groups, e.g. methyl, ethyl, propyl, butyl, pentyl, hexyl, heptyl, octyl groups and isomers thereof, alkenyl groups, e.g. vinyl, allyl and hexenyl groups, and aryl groups, e.g. phenyl groups. Suitable substituted hydrocarbon groups
10 include aminoalkyl, epoxyalkyl, (meth)acryloxyalkyl, isocyanoalkyl, trifluoroalkyl (e.g. trifluoropropyl), and perfluoroalkyl (e.g. perfluorobutylethyl) groups. Preferred organosilicon-containing additives include linear siloxanes of the formula $\text{R}^1_a\text{R}_{3-a}\text{SiO}-(\text{R}^1_b\text{R}_{2-b}\text{SiO})_c-\text{SiR}_{3-a}\text{R}^1_a$ and cyclic
15 siloxanes of the formula $(\text{R}^1_b\text{R}_{2-b}\text{SiO})_d$ wherein R is an alkyl group, preferably methyl, R^1 is an alkyl group, preferably methyl, a hydrogen atom, alkenyl group, preferably vinyl, or hydroxyl group a is 0 to 3, b is 0 to 2, c is an 0 or an integer, for example an integer between 10^3 and 10^5 ,
20 preferably between 100 and 10,000, and d is 3 to 10, preferably 4 to 6. Particularly preferred siloxanes are polydimethylsiloxane, α,ω -dihydroxy-polydimethylsiloxane and α,ω -divinylpolydimethylsiloxane. Suitable organosilicon-containing resins for use in the method of the present
25 invention include polysilsesquioxane resins.

[0010] Suitable organosilicon-containing additives for use in the method of the present invention also include copolymers of siloxanes and organic polymers. Suitable copolymers include A-B-A, B-A-B, A-B, $(\text{A-B})_n$ and A-graft-B
30 copolymers, wherein A is the organic polymer, B is the siloxane, and n is a positive integer. Preferred copolymers include copolymers of polyethylene and polypropylene with polydimethylsiloxane.

[0011] The organosilicon-containing additive must be present in the substrate in an amount sufficient to form a SiO_x coating on the substrate surface following plasma treatment. An amount of organosilicon-containing additive of less than 50% by weight of the substrate is sufficient, for example 25% by weight or less, preferably 10% by weight or less, for example from 2.5 to 5% by weight. N.B. where the additive comprises organosilicon-containing and non-organosilicon-containing components, for example as in the case of a copolymer of organic polymer and siloxane, the stated percentages are for the organosilicon-containing component alone.

[0012] The specific organic polymeric material and organosilicon-containing additives to be employed for a particular substrate will depend on the use to which the substrate is to be put. For example, we have found that plasma treated substrates in which the organosilicon-containing additive is a high molecular weight siloxane gum tend to have useful adhesive properties, whereas plasma treated substrates in which the organosilicon-containing additive is a low molecular weight siloxane fluid or copolymer tend to promote formation of a thicker SiO_x coating, which may enhance surface barrier properties. In general, substantially all blends of organic polymeric materials and organosilicon-containing additives which are non-miscible therewith are usable in the method of the present invention and result in the formation of SiO_x on the substrate surface.

[0013] The substrate for use in the method of the present invention may be prepared by mixing the organosilicon-containing additive with the organic polymeric material. Mixing may be achieved by any means conventional in the art for such procedures. For example, the organic polymeric material may be obtained in the form of pellets which can be

added to a hopper which feeds into apparatus for processing the pellets and forming the substrate, for example an extruder. When using an extruder, the organosilicon-containing additive may be sprayed onto the pellets in the hopper, or alternatively may be added to the extruder itself via an entry port. The pellets are melted in the extruder, wherein the organosilicon-containing additive mixes with the organic polymeric material melt. It is during the melt process that the organosilicon-containing additive migrates to the surface of the substrate. The substrate may then be formed downstream, e.g. by film blowing or casting, blow moulding, injection moulding, injection blow moulding, sheet extrusion, cable sheathing, fibre extrusion, profile extrusion, formation into pellets or foams, and such other means as are conventional in the art. The substrate may itself form a coating on a substrate, for example a coating on a metal article. Pellets of the organic polymeric material may be pre-prepared, e.g. pellets of organic polymeric material already containing organosilicon-containing additive may be prepared for future use. These pellets may then be used as is conventional in the art for forming the substrate without the need for the additional step of mixing the organosilicon-containing additive therewith.

[0014] According to the method of the present invention, once the substrate has been formed the surface thereof is plasma treated. Many different plasma treatment processes are known, and any oxidative treatment process which can convert the organosilicon-containing additive on the substrate surface to SiO_x is suitable for use in the method of the present invention. Suitable oxidative treatment processes include, for example, O_2 , UV, VUV, IR, ozone, and plasma (including d.c., low frequency, high frequency, microwave, ECR, corona, dielectric barrier and atmospheric

glow discharge) treatment processes. The gas for use in the plasma treatment process may be, for example, an oxygen-containing gas, e.g. O_2 , H_2O , NO_2 , and air, or an inert gas; however, when the latter is used in plasma treatment processes etching of the substrate surface may also occur and hence oxygen-containing gasses, in particular O_2 and air, are preferred. Gas pressure may be atmospheric pressure or lower, for example, from $10Nm^{-2}$ to $1000Nm^{-2}$.

[0015] The duration of the plasma treatment will depend upon the particular substrate in question and the desired degree of conversion of organosilicon compound on the surface of the substrate to SiO_x , and this will typically be the order of seconds.

[0016] Plasma treatment of the substrate surface may be performed with substrate heating and/or pulsing of the plasma discharge. The substrate may be heated to a temperature up to and below its melting point. Substrate heating and plasma treatment may be cyclic, i.e. the substrate is plasma treated with no heating, followed by heating with no plasma treatment, etc., or may be simultaneous, i.e. substrate heating and plasma treatment occur together. A particularly preferred plasma treatment process involves pulsing the plasma discharge with constant heating of the substrate. The plasma discharge is pulsed to have a particular "on" time and "off" time. The on-time is typically from 10 to $10000\mu s$, preferably 100 to $1000\mu s$, and the off-time typically from 1000 to $10000\mu s$, preferably from 1000 to $2000\mu s$.

[0017] Surface plasma treatment of a substrate comprising an organic polymeric material which contains an organosilicon-containing additive as described herein can improve the surface barrier, antioxidant and/or the adhesive properties of the substrate surface. For example, plasma

surface treatment can facilitate further modification of the substrate surface, for example modification by the introduction of additional functionalities onto the substrate surface by grafting of materials containing such additional functionalities (e.g. chlorosilanes, alkoxy-
5 silanes, and titanates), thereonto. Adhesive properties of the substrate surface can also be enhanced, for example by providing enhanced adhesion of coatings and laminates thereto and by providing improved paintability
10 and/or printability. Improved adhesion of the coating to the substrate surface may also impart useful release properties to the substrate, for example to enable the coated substrate to be used as a release liner, such as for self-adhesive labels.

15 [0018] The present invention will now be described in detail by way of example.

Example 1

20 [0019] Polyethylene substrates containing 2.5%w/w polydimethylsiloxane (PDMS) (as $A_{30}B_{30}A_{30}$ PDMS-co-ethylene copolymer) were prepared as films using a thin film extruder. Strips of the substrate were ultrasonically washed in a solvent mixture of 1:1 cyclohexane/propan-2-ol
25 for 30 seconds. Two sets of low pressure plasma treatments were then performed on the strips:

[0020] In the first set, the washed strip was annealed in a vacuum oven at 80°C for 30 minutes prior to placing in the plasma chamber where no further heating took place.

30 [0021] In the second set, the washed sample was placed directly into the plasma chamber and heated at 80°C during 60 seconds pulsed plasma treatment (20W forward power, reflected power varying between 3W and 5W).

[0022] Elemental analysis of the near surface region (3-5nm) of each substrate was performed to ascertain the levels of SiO_x on the substrate surface using X-ray photoelectron spectroscopy (XPS).

5

Results are shown in Table 1 below.

Table 1						
Treatment	%C	%O	%Si			
			$\text{SiO}_{2/2}$	$\text{SiO}_{3/2}$	$\text{SiO}_{4/2}$	Total
none	71.3	15.5	13.2	-	-	13.2
washed (1)	92.5	4.3	3.2	-	-	3.2
washed and annealed (2)	63.7	18.2	18.1	-	-	18.1
(2) + 2W O_2 plasma	31.7	49.3	2.7	9.1	7.2	19.0
(1) + 2W O_2 plasma + heating	47.6	31.4	7.8	6.0	7.3	21.1
(1) + 2W O_2 pulsed plasma*	35.5	46.3	3.7	8.3	6.1	18.1
(2) + 2W O_2 pulsed plasma* + heating	26.1	52.6	0.9	8.6	11.9	21.4

* on-time = 120 μs , off-time = 1360 μs

Example 2

[0023] Polyethylene substrates containing 2.5% w/w α,ω -divinyl PDMS (Mw ca. 500000) were prepared as films using a thin film extruder and washed as described in Example 1.

[0024] Film samples were then low pressure plasma treated (with the exception of treatment (i)) as follows:

- (i) solvent washed, then heated at 80°C for 30 mins;
- (ii) 2W O₂ plasma treatment for 60 seconds;
- 10 (iii) 2W O₂ plasma treatment for 60 seconds, followed by washing in 50/50 cyclohexane/propan-2-ol solvent mixture for 30 seconds;
- (iv) 2W O₂ plasma treatment for 60 seconds followed by annealing at 80°C for 30 mins; and
- 15 (v) 2W O₂ plasma treatment for 60 seconds followed by annealing at 80°C for 30 mins, and then washing in 50/50 cyclohexane/propan-2-ol solvent mixture for 30 seconds.

[0025] Elemental analysis of the near surface region (3-5nm) of each substrate was performed to ascertain the levels of SiO_x on the substrate surface using X-ray photoelectron spectroscopy (XPS).

Results are shown in Table 2 below.

Table 2				
	Si Atom % by XPS			
Treatment	Si as PDMS	Error ±	Si as SiO _x	Error ±
i)	6.7	0.6	0	0
ii)	0	0	4.4	0.6
iii)	0.7	0.08	4.9	0.53
iv)	5.5	0.35	3.7	0.25
v)	2.6	0.23	4.2	0.37

Example 3

[0026] Polypropylene substrates containing 2.5% w/w α,ω -divinyl PDMS (Mw ca. 500000) were prepared as films using a thin film extruder and washed as described in Example 1.

[0027] Film samples were then low pressure plasma treated (with the exception of treatment (i)) as follows:

(i) solvent washed and then heated at 80°C for 30 mins;

(ii) 2W O₂ plasma treatment for 60 seconds;

(iii) 2W O₂ plasma treatment for 60 seconds followed by washing in 50/50 cyclohexane/propan-2-ol solvent mixture for 30 seconds;

(iv) 2W O₂ plasma treatment for 60 seconds followed by annealing at 80°C for 30 mins; and

(v) 2W O₂ plasma treatment for 60 seconds followed by annealing at 80°C for 30 mins and then washing in 50/50 cyclohexane/propan-2-ol solvent mixture for 30s.

[0028] Elemental analysis of the near surface region (3-5nm) of each substrate was performed to ascertain the levels of SiO_x on the substrate surface using X-ray photoelectron spectroscopy (XPS).

Results are shown in Table 3 below.

Table 3				
	Si Atom % by XPS			
Treatment	Si as PDMS	Error \pm	Si as SiO _x	Error \pm
i)	2.7	0.68	0.1	0.03
ii)	0.5	0.07	3.5	0.53
iii)	0.7	0.11	3.1	0.49
iv)	3.7	0.38	2.1	0.22
v)	0.7	0.08	3.9	0.52

Example 4

5

[0029] Polyethylene substrates containing 2.5% w/w polydimethylsiloxane (PDMS) (as A₃₀B₃₀A₃₀ PDMS-co-ethylene copolymer) were prepared as films using a thin film extruder. Strips of the substrate were ultrasonically washed in a solvent mixture of 1:1 cyclohexane/propan-2-ol for 30 seconds. The washed film was annealed in a vacuum oven at 80°C for 30 minutes prior to placing into gas discharge equipment where no further heating took place.

[0030] The films were then subjected to an oxygen containing atmospheric pressure glow discharge (APGD). Elemental analysis of the near surface region (3-5nm) of each substrate was performed to ascertain the levels of SiO_x on the substrate surface using X-ray photoelectron spectroscopy (XPS).

20

Results are shown in Table 4 below.

Table 4					
		XPS (atom%)			
Treatment		C	O	Si	SiO _x
Washed & annealed		60.2 ± 2.9	20.7 ± 2.4	19.2 ± 0.6	none
Washed & annealed + APGD		26.5 ± 0.5	51.1 ± 0.3	22.4 ± 0.2	55.6 ± 1.7

Example 5

5

[0031] Polyethylene substrates containing 2.5% w/w α,ω -dihydroxy PDMS were prepared as films using a thin film extruder and washed as described in Example 1.

10 [0032] Substrate strips were then subjected to 60 seconds pulsed plasma treatment (20W forward power, reflected power varying between 3W and 5W, on-time = 120 μ s, off-time = 1360 μ s) with heating at 80°C.

[0033] The adhesion performance of each strip was determined according to FINAT test method 3 (Low Speed
15 Release Force).

The results are also shown in Table 5 below:

Table 5			
Film/treatment	Lamination time	Release force N/20mm	
		Acrylate Tesa A7475	Butyl Tesa 4651
PE Untreated	24 hours	7	4
PE Corona treated 0.26kW	24 hours	cannot release	>20 substrate failure
PE Corona treated 0.50kW	24 hours	cannot release	>19 substrate failure
OH-PDMS/PE untreated	24 hours	2.9	3.2
OH-PDMS/PE O ₂ plasma (1)	24 hours	cannot release	substrate failure
OH-PDMS/PE O ₂ plasma (2)	24 hours	cannot release	substrate failure
PE Untreated	8 weeks	7.4	
PE Corona treated 0.26kW	8 weeks	>20 does release	
PE Corona treated 0.50kW	8 weeks	>20 does release	
OH-PDMS/PE untreated	8 weeks	4.9	
OH-PDMS/PE O ₂ plasma (1)	8 weeks	substrate failure	
OH-PDMS/PE O ₂ plasma (2)	8 weeks	>20 does release	

Example 6

[0034] Polyethylene substrates containing 2.5% w/w α,ω -divinyl PDMS were prepared using a thin film extruder.

5 Polyethylene substrates not containing any α,ω -divinyl PDMS were also prepared for comparison. All the substrate strips were then subjected to 10 minutes O_2 plasma treatment (O_2 pressure = 27 Nm^{-2} , peak power = 25W, on-time = 100 msecs, off-time = 1000 msecs), with the substrate temperature
10 maintained at 47°C .

[0035] After 4 days the substrates were coated with a commercial solventless silicone coating formulation which comprises methylvinyl functional siloxane polymer, methylhydrogen functional siloxane crosslinker, platinum
15 based catalyst and an inhibitor. Silicone coatings of ca. $1\mu\text{m}$ thickness were prepared and cured by heating at 80°C for 180 seconds.

[0036] The anchorage of the silicone coating to the polyethylene substrate was tested over time by subjecting it
20 to abrasive treatment and comparing the weight of the silicone coating after treatment with the weight prior to treatment (quantified by XRF analysis).

[0037] The anchorage index is (coating weight after abrasive treatment)/(initial coating weight) x 100%. An
25 anchorage index of 95% or greater is considered acceptable.

The results are given in Table 6 below.

Table 6		
Time after coating	Polyethylene film	Polyethylene + PDMS
Immediate	99	95
1 day	100	99
7 days	85	98
14 days	79	99
28 days	92	98
56 days	73	97

Claims

1. A method of forming a coating on a substrate surface which coating comprises SiO_x groups by plasma treatment of the surface, wherein the substrate comprises a blend of an organic polymeric material and an organosilicon-containing additive which is substantially non-miscible with the organic polymeric material.
2. A method according to Claim 1 wherein the organic polymeric material comprises a polyolefin.
3. A method according to Claim 1 or 2 wherein the organosilicon-containing additive comprises polydimethylsiloxane, α,ω -dihydroxypolydimethylsiloxane, α,ω -divinylpolydimethylsiloxane, or a copolymer of polydimethylsiloxane and polyethylene.
4. A method according to any one of Claims 1, 2 or 3 wherein the plasma treatment comprises oxygen-containing gas plasma treatment.
5. A method according to Claim 4 wherein the substrate is heated during plasma treatment.
6. A method according to Claim 4 or 5 wherein the plasma is pulsed.
7. A method according to Claim 6 wherein the plasma pulse has an on-time of 100 to 1000 μs , and an off-time of from 1000 to 2000 μs .

8. A method for enhancing the surface barrier, antioxidant and/or the adhesive properties of a substrate surface by forming a coating on the substrate surface according to a method of any preceding Claim.
9. Use of a coated substrate as a release liner, wherein the substrate surface coating was formed by a method according to any one of Claims 1 to 8.

INTERNATIONAL SEARCH REPORT

In **International Application No**
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A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C08J7/00 C08J7/12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 C08J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 693 928 A (EGITTO FRANK DANIEL ET AL) 2 December 1997 (1997-12-02) cited in the application column 1, line 66 -column 2, line 14 column 3, line 66 -column 4, line 28 tables 1-3 ---	1,3,4,8
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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INTERNATIONAL SEARCH REPORT

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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